

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

BEET-05

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

09/937985

INTERNATIONAL APPLICATION NO.

PCT/DE00/00505

INTERNATIONAL FILING DATE

February 23, 2000

PRIORITY DATE CLAIMED

April 7, 1999

TITLE OF INVENTION

System And Method For Telecommunications

APPLICANT(S) FOR DO/EO/US

Gunter Schmid

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (21 References)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - Copy of Form PCT/ISA/210 International Search Report
 - Copy of Search Report DE 19915671.9
 - Copy of First Page of Published Application WO 00/62516 A3
 - Copy of Form PCT/IPEA/409 International Preliminary Examination Report with Annexes
 - Copy of Form PCT/IB/308 International Application to the Designated Offices
 - Express Mail Cert. No. EL915035354US
 - Return Postcard

U.S. APPLICATION NO. 09/937785		INTERNATIONAL APPLICATION NO. PCT/DE00/00505		ATTORNEY'S DOCKET NUMBER BEET-05																																																																											
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY																																																																											
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 860.00																																																																											
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;">\$</th> <th style="width:20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>35 - 20 =</td> <td>15</td> <td>x \$18.00</td> <td>\$ 270.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>7 - 3 =</td> <td>4</td> <td>x \$80.00</td> <td>\$ 320.00</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$270.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 1,580.00</td> <td></td> </tr> <tr> <td colspan="4" style="vertical-align: top;"> <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. </td> <td style="text-align: right; vertical-align: bottom;">\$ 430.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td>\$ 1,150.00</td> <td></td> </tr> <tr> <td colspan="4" style="vertical-align: top;"> Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). </td> <td style="text-align: right; vertical-align: bottom;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td>\$ 1,150.00</td> <td></td> </tr> <tr> <td colspan="4" style="vertical-align: top;"> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + </td> <td style="text-align: right; vertical-align: bottom;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td>\$ 1,150.00</td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td style="text-align: right; vertical-align: bottom;">Amount to be refunded:</td> <td style="text-align: center; vertical-align: bottom;">\$</td> </tr> <tr> <td style="text-align: right; vertical-align: bottom;">charged:</td> <td style="text-align: center; vertical-align: bottom;">\$</td> </tr> </tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	35 - 20 =	15	x \$18.00	\$ 270.00		Independent claims	7 - 3 =	4	x \$80.00	\$ 320.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$		TOTAL OF ABOVE CALCULATIONS =				\$ 1,580.00		<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 430.00		SUBTOTAL =				\$ 1,150.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		TOTAL NATIONAL FEE =				\$ 1,150.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		TOTAL FEES ENCLOSED =				\$ 1,150.00						Amount to be refunded:	\$	charged:	\$	a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,150.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-3000</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.																																																																															
SEND ALL CORRESPONDENCE TO: David H. Brinkman, Esq. Wood, Herron & Evans, L.L.P. 2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324-Office (513) 421-7269-Facsimile																																																																															
<div style="display: flex; align-items: center;"> <div> SIGNATURE _____ David H. Brinkman NAME _____ 40,532 REGISTRATION NUMBER _____ </div> </div>																																																																															

09/937985

410 Rec'd PCT/PTO 03 OCT 2001

EXPRESS MAIL NO. EL 915035354US
PATENT

In re application of: Günter Schmid
International Application No.: PCT/DE00/00505 (filed February 23, 2000)
Filed: October 3, 2001
Priority Date: April 7, 1999
For: SYSTEM AND METHOD FOR
TELECOMMUNICATIONS
Attorney Docket: BEET-05

Cincinnati, Ohio 45202

October 3, 2001

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

CERTIFICATE OF EXPRESS MAILING

Enclosures: Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371; Copy of the International Application as Filed; Copy of the Translation of the International Application into English; Unexecuted Declaration, Power of Attorney and Petition; Information Disclosure Statement With Twenty One (21) References; Form PTO-1449; Preliminary Amendment; Copy of Form PCT/ISA/210 International Search Report; Copy of Search Report of DE 19915671.9; Copy of First Page of Published Application WO 00/62516; Copy of International Preliminary Examination Report with Annexes; English Translation of Annexes to the International Preliminary Examination Report; Copy of Form PCT/IB/308 International Application to the Designated Offices; Express Mail Certificate No. EL915035354US; A Check for \$1,150.00 for the Filing Fee; and Return Postcard

"Express Mail" Mailing Label No. EL915035354US

Date of Deposit: October 3, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, BOX PCT, WASHINGTON, D.C. 20231

Becky Ledford

Name of Person Mailing Paper or Fee

Becky Ledford

Signature of Person Mailing Paper or Fee